Portfolio overlap

FYX / ROSC

Overlap	Shared positions
24.72%	145

First Trust Small Cap Core AlphaDEX Fund

Report dates 07/31/2026 | 99.88% NAV

Overlap / FYX 30.70%

Hartford Multifactor Small Cap ETF

Report dates 07/31/2026 | 99.73% NAV

Overlap / ROSC 51.73%

Largest shared positions

Security	FYX	ROSC	Overlap
INTERNATIONAL SEAWAYS INC COM	0.40%	0.59%	0.40%
CAL-MAINE FOODS INC COM NEW	0.35%	0.81%	0.35%
DELUXE CORP COM	0.35%	0.51%	0.35%

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

History





5 matched portfolio dates from 07/31/2025 to 07/31/2026. Both filings use the same portfolio date. The minimum matched share of net assets is 99.60%. The filing dates are 47–60 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

Largest changes

04/30/2026 / 07/31/2026 -1.32 pp

Security	Overlap 04/30/2026	Overlap 07/31/2026	Change (pp)
Organon & Co	0.38%	0.00%	-0.38
Leggett & Platt Inc	0.30%	0.00%	-0.30
CATALYST PHARMACEUTICALS INC	0.19%	0.00%	-0.19
LEMAITRE VASCULAR INC	0.23%	0.07%	-0.16
PERDOCEO EDUCATION CORP	0.16%	0.32%	+0.16
All other shared classes			-0.44

Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

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Report dates	Weight (%)	Classes	FYX · SEC	ROSC · SEC
07/31/2026	24.72%	145	0000940400-26-037832	0001410368-26-101349
04/30/2026	26.04%	148	0000940400-26-025128	0001410368-26-066541
01/31/2026	25.29%	152	0000940400-26-011349	0001410368-26-030408
10/31/2025	24.41%	152	0000940400-25-014243	0001410368-25-038417
07/31/2025	23.31%	149	0000940400-25-002091	0001410368-25-011766