Portfolio overlap

FYX / HAPS

Overlap	Shared positions
15.04%	85

First Trust Small Cap Core AlphaDEX Fund

Report dates 07/31/2026 | 99.88% NAV

Overlap / FYX 15.64%

Harbor Human Capital Factor US Small Cap ETF

Report dates 07/31/2026 | 100.02% NAV

Overlap / HAPS 46.17%

Largest shared positions

Security	FYX	HAPS	Overlap
CONMED CORP COM	0.45%	0.72%	0.45%
MILLERKNOLL INC COM	0.35%	0.32%	0.32%
ENCORE CAPITAL GROUP INC COM	0.32%	0.39%	0.32%

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

History





13 matched portfolio dates from 07/31/2023 to 07/31/2026. Both filings use the same portfolio date. The minimum matched share of net assets is 99.55%. The filing dates are 49–61 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

Largest changes

04/30/2026 / 07/31/2026 +0.08 pp

Security	Overlap 04/30/2026	Overlap 07/31/2026	Change (pp)
Organon & Co	0.38%	0.00%	-0.38
Iridium Communications Inc	0.32%	0.00%	-0.32
WORTHINGTON STEEL INC	0.36%	0.07%	-0.29
ADIENT PLC	0.06%	0.31%	+0.25
TENABLE HOLDINGS INC	0.00%	0.23%	+0.23
All other shared classes			+0.60

Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

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Report dates	Weight (%)	Classes	FYX · SEC	HAPS · SEC
07/31/2026	15.04%	85	0000940400-26-037832	0001410368-26-099277
04/30/2026	14.96%	85	0000940400-26-025128	0001410368-26-067303
01/31/2026	14.50%	77	0000940400-26-011349	0001410368-26-033715
10/31/2025	13.63%	79	0000940400-25-014243	0001410368-25-039962
07/31/2025	15.11%	82	0000940400-25-002091	0001410368-25-012041
04/30/2025	15.13%	79	0001752724-25-155886	0001752724-25-162326
01/31/2025	13.63%	75	0001752724-25-066894	0001752724-25-074756
10/31/2024	13.25%	68	0001752724-24-292202	0001752724-24-298681
07/31/2024	15.80%	82	0001752724-24-212637	0001752724-24-222689
04/30/2024	15.40%	83	0001752724-24-143837	0001752724-24-150321
01/31/2024	11.31%	65	0001752724-24-062135	0001752724-24-074966
10/31/2023	11.30%	65	0001752724-23-294428	0001752724-23-290918
07/31/2023	13.78%	75	0001752724-23-217081	0001752724-23-222401