Portfolio overlap

FYC / SGRW

Overlap	Shared positions
8.88%	25

First Trust Small Cap Growth AlphaDEX Fund

Report dates 07/31/2026 | 99.99% NAV

Overlap / FYC 9.79%

Harbor Active Small Cap Growth ETF

Report dates 07/31/2026 | 97.58% NAV

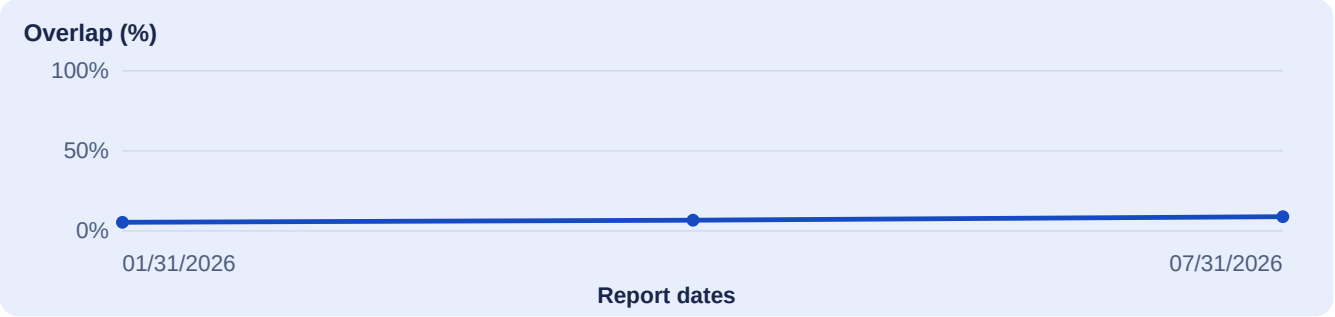
Overlap / SGRW 18.06%

Largest shared positions

Security	FYC	SGRW	Overlap
CHEFS' WAREHOUSE INC (THE) COM	0.81%	0.93%	0.81%
DAVE INC CLASS A COM NEW	0.68%	0.86%	0.68%
LIGAND PHARMACEUTICALS INC COM NEW	0.61%	1.19%	0.61%

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

History





3 matched portfolio dates from 01/31/2026 to 07/31/2026. Both filings use the same portfolio date. The minimum matched share of net assets is 97.41%. The filing dates are 53–58 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

Largest changes

04/30/2026 / 07/31/2026 +2.19 pp

Security	Overlap 04/30/2026	Overlap 07/31/2026	Change (pp)
TWIST BIOSCIENCE CORP	0.00%	0.60%	+0.60
VITA COCO COMPANY INC (THE)	0.00%	0.54%	+0.54
STOKE THERAPEUTICS INC	0.56%	0.12%	-0.44
NPK INTERNATIONAL INC	0.39%	0.00%	-0.39
CHEFS' WAREHOUSE INC (THE)	0.43%	0.81%	+0.38
All other shared classes			+1.51

Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

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Report dates	Weight (%)	Classes	FYC · SEC	SGRW · SEC
07/31/2026	8.88%	25	0000940400-26-037859	0001410368-26-099259
04/30/2026	6.69%	19	0000940400-26-025137	0001410368-26-067340
01/31/2026	5.38%	14	0000940400-26-011347	0001410368-26-033744