# Portfolio overlap

## FXN / NBET

| Overlap | Shared positions |
|---|---|
| 22.91% | 13 |

## First Trust Energy AlphaDEX Fund

Report dates 07/31/2026 | 99.86% NAV

Overlap / FXN 31.83%

## Neuberger Energy Transition & Infrastructure ETF

Report dates 07/31/2026 | 99.66% NAV

Overlap / NBET 51.76%

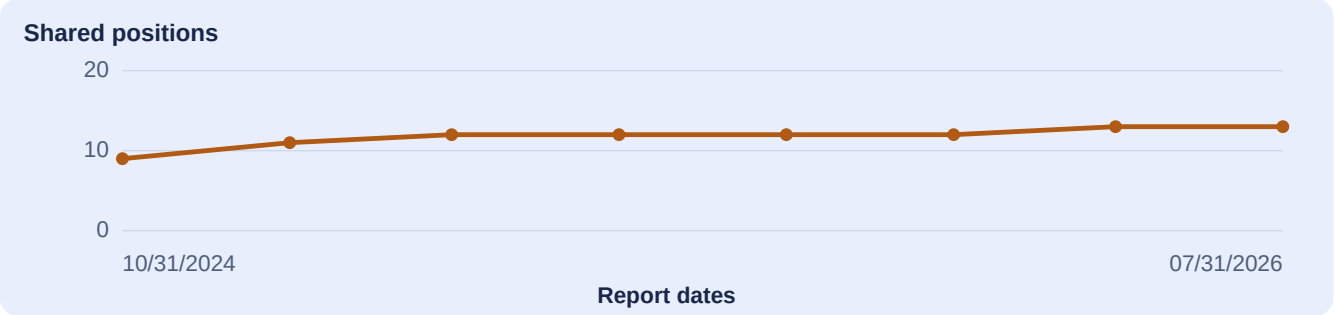
## Largest shared positions

| Security | FXN | NBET | Overlap |
|---|---|---|---|
| CONOCOPHILLIPS COM | 3.53% | 4.44% | 3.53% |
| ANTERO RESOURCES CORP COM | 4.48% | 3.42% | 3.42% |
| CHEVRON CORP COM | 2.72% | 4.49% | 2.72% |

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

# History




8 matched portfolio dates from 10/31/2024 to 07/31/2026. Both filings use the same portfolio date. The minimum matched share of net assets is 98.87%. The filing dates are 52–59 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

# Largest changes

04/30/2026 / 07/31/2026 -3.76 pp

| Security | Overlap 04/30/2026 | Overlap 07/31/2026 | Change (pp) |
|---|---|---|---|
| CHENIERE ENERGY INC | 4.61% | 0.84% | -3.77 |
| EXXONMOBIL HOLDINGS CORP | 0.00% | 2.60% | +2.60 |
| WILLIAMS COMPANIES INC (THE) | 2.62% | 0.73% | -1.88 |
| EXXON MOBIL CORP | 1.73% | 0.00% | -1.73 |
| CONOCOPHILLIPS | 2.06% | 3.53% | +1.47 |
| All other shared classes | | | -0.46 |

# Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

nport-common-equity-v1

| Report dates | Weight (%) | Classes | FXN · SEC | NBET · SEC |
|---|---|---|---|---|
| 07/31/2026 | 22.91% | 13 | 0000940400-26-037831 | 0001410368-26-100463 |
| 04/30/2026 | 26.67% | 13 | 0000940400-26-025094 | 0001410368-26-067194 |
| 01/31/2026 | 22.65% | 12 | 0000940400-26-011352 | 0001410368-26-029185 |
| 10/31/2025 | 18.39% | 12 | 0000940400-25-014181 | 0001410368-25-042428 |
| 07/31/2025 | 18.17% | 12 | 0000940400-25-002085 | 0001410368-25-009373 |
| 04/30/2025 | 22.32% | 12 | 0001752724-25-155954 | 0001752724-25-152383 |
| 01/31/2025 | 15.78% | 11 | 0001752724-25-066871 | 0001752724-25-067211 |
| 10/31/2024 | 13.01% | 9 | 0001752724-24-292048 | 0001752724-24-298643 |