Portfolio overlap

FNY / SGRW

Overlap	Shared positions
10.28%	29

First Trust Mid Cap Growth AlphaDEX Fund

Report dates 07/31/2026 | 100.00% NAV

Overlap / FNY 13.11%

Harbor Active Small Cap Growth ETF

Report dates 07/31/2026 | 97.58% NAV

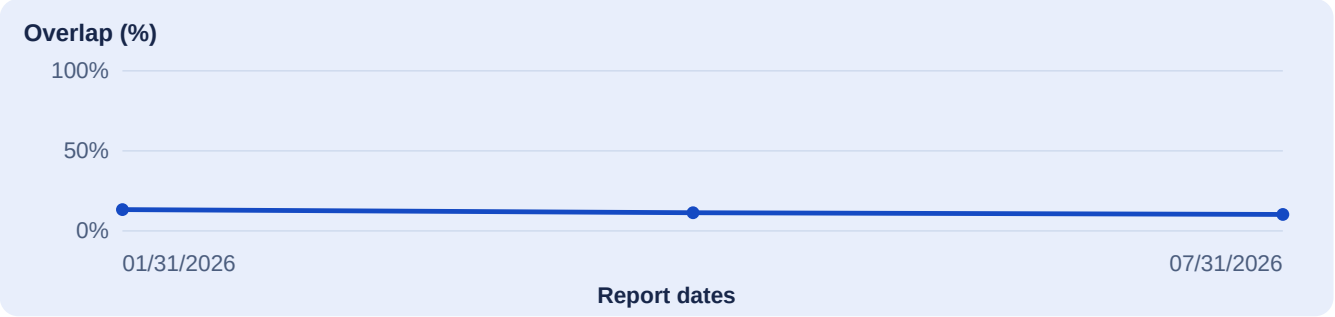
Overlap / SGRW 16.93%

Largest shared positions

Security	FNY	SGRW	Overlap
LIFE TIME GROUP HOLDINGS INC COMMON STOCK	0.70%	0.87%	0.70%
ZETA GLOBAL HOLDINGS CORP CL A	0.70%	0.71%	0.70%
JBT MAREL CORP COM	0.61%	0.82%	0.61%

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

History





3 matched portfolio dates from 01/31/2026 to 07/31/2026. Both filings use the same portfolio date. The minimum matched share of net assets is 97.41%. The filing dates are 53–58 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

Largest changes

04/30/2026 / 07/31/2026 -1.07 pp

Security	Overlap 04/30/2026	Overlap 07/31/2026	Change (pp)
STERLING INFRASTRUCTURE INC	0.84%	0.00%	-0.84
TTM TECHNOLOGIES INC	0.74%	0.13%	-0.61
SEMTECH CORP	0.52%	0.00%	-0.52
ZETA GLOBAL HOLDINGS CORP	0.23%	0.70%	+0.47
OKTA INC	0.12%	0.54%	+0.41
All other shared classes			+0.01

Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

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Report dates	Weight (%)	Classes	FNY · SEC	SGRW · SEC
07/31/2026	10.28%	29	0000940400-26-037851	0001410368-26-099259
04/30/2026	11.35%	29	0000940400-26-025135	0001410368-26-067340
01/31/2026	13.31%	31	0000940400-26-011350	0001410368-26-033744