# Portfolio overlap

## FNY / ISCG

| Overlap | Shared positions |
|---|---|
| 38.74% | 197 |

## First Trust Mid Cap Growth AlphaDEX Fund

Report dates 07/31/2026 | 100.00% NAV

Overlap / FNY 86.79%

## iShares Morningstar Small-Cap Growth ETF

Report dates 07/31/2026 | 99.83% NAV

Overlap / ISCG 40.38%

## Largest shared positions

| Security | FNY | ISCG | Overlap |
|---|---|---|---|
| GUARDANT HEALTH INC COM | 0.86% | 0.67% | 0.67% |
| OKTA INC CL A | 0.66% | 0.77% | 0.66% |
| STERLING INFRASTRUCTURE INC COM | 0.56% | 0.59% | 0.56% |

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

# History







21 matched portfolio dates from 10/31/2019 to 07/31/2026. Both filings use the same portfolio date. The minimum matched share of net assets is 99.52%. The filing dates are 50–59 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

# Largest changes

04/30/2026 / 07/31/2026 +0.25 pp

| Security | Overlap 04/30/2026 | Overlap 07/31/2026 | Change (pp) |
|---|---|---|---|
| OKTA INC | 0.00% | 0.66% | +0.66 |
| TTM TECHNOLOGIES INC | 0.50% | 0.00% | -0.50 |
| Roku, Inc. | 0.49% | 0.00% | -0.49 |
| NUTANIX INC | 0.00% | 0.37% | +0.37 |
| ROIVANT SCIENCES LTD | 0.37% | 0.00% | -0.37 |
| All other shared classes | | | +0.57 |

# Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

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| Report dates | Weight (%) | Classes | FNY · SEC | ISCG · SEC |
|---|---|---|---|---|
| 07/31/2026 | 38.74% | 197 | 0000940400-26-037851 | 0002071691-26-023030 |
| 04/30/2026 | 38.49% | 198 | 0000940400-26-025135 | 0002071691-26-015398 |
| 01/31/2026 | 38.95% | 197 | 0000940400-26-011350 | 0002071691-26-007298 |
| 10/31/2025 | 40.87% | 191 | 0000940400-25-014184 | 0002071691-25-009437 |
| 07/31/2025 | 41.21% | 191 | 0000940400-25-002098 | 0002071691-25-002330 |
| 04/30/2025 | 38.69% | 180 | 0001752724-25-155858 | 0001752724-25-157709 |
| 01/31/2025 | 37.80% | 176 | 0001752724-25-066870 | 0001752724-25-068597 |
| 10/31/2024 | 37.85% | 170 | 0001752724-24-292058 | 0001752724-24-296023 |
| 07/31/2024 | 37.64% | 173 | 0001752724-24-212493 | 0001752724-24-222056 |
| 04/30/2024 | 39.32% | 174 | 0001752724-24-143776 | 0001752724-24-144172 |
| 01/31/2024 | 35.72% | 170 | 0001752724-24-062122 | 0001752724-24-066615 |
| 10/31/2023 | 35.97% | 164 | 0001752724-23-294434 | 0001752724-23-290657 |
| 07/31/2023 | 29.77% | 143 | 0001752724-23-217075 | 0001752724-23-219159 |
| 01/31/2023 | 24.08% | 123 | 0001752724-23-065190 | 0001752724-23-068562 |
| 10/31/2022 | 26.00% | 128 | 0001752724-22-295753 | 0001752724-22-290216 |
| 01/31/2022 | 22.81% | 113 | 0001752724-22-069373 | 0001752724-22-075679 |
| 04/30/2021 | 31.23% | 150 | 0001752724-21-135208 | 0001752724-21-141090 |
| 07/31/2020 | 39.78% | 95 | 0001752724-20-194740 | 0001752724-20-197470 |
| 04/30/2020 | 42.04% | 94 | 0001752724-20-126506 | 0001752724-20-130573 |
| 01/31/2020 | 35.62% | 86 | 0001752724-20-059388 | 0001752724-20-064059 |
| 10/31/2019 | 32.48% | 75 | 0001752724-19-202172 | 0001752724-19-202319 |