Portfolio overlap

FEP / HAP

Overlap	Shared positions
5.72%	16

First Trust Europe AlphaDEX Fund

Report dates 12/31/2019 | 97.49% NAV

Overlap / FEP 9.96%

VanEck Natural Resources ETF

Report dates 12/31/2019 | 100.11% NAV

Overlap / HAP 9.70%

Largest shared positions

Security	FEP	HAP	Overlap
ANGLO AMERICAN PLC /GBP/	0.94%	0.93%	0.93%
RIO TINTO PLC /GBP/	0.86%	1.54%	0.86%
ROYAL DUTCH SHELL PLC-B /GBP/	0.60%	1.39%	0.60%

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

History





2 matched portfolio dates from 09/30/2019 to 12/31/2019. Both filings use the same portfolio date. The minimum matched share of net assets is 96.87%. The filing dates are 51–57 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

Largest changes

09/30/2019 / 12/31/2019 -0.41 pp

Security	Overlap 09/30/2019	Overlap 12/31/2019	Change (pp)
Vestas Wind Systems A/S	0.45%	0.00%	-0.45
Veolia Environnement S.A.	0.00%	0.38%	+0.38
Anglo American PLC	0.58%	0.93%	+0.36
OCI NV	0.28%	0.00%	-0.28
Rio Tinto plc	0.60%	0.86%	+0.26
All other shared classes			-0.68

Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

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Report dates	Weight (%)	Classes	FEP · SEC	HAP · SEC
12/31/2019	5.72%	16	0001145549-20-008874	0001752724-20-028391
09/30/2019	6.13%	24	0001145549-19-044093	0001752724-19-171617