Portfolio overlap

FAD / SGRW

Overlap	Shared positions
7.41%	60

First Trust Multi Cap Growth AlphaDEX Fund

Report dates 07/31/2026 | 99.99% NAV

Overlap / FAD 7.61%

Harbor Active Small Cap Growth ETF

Report dates 07/31/2026 | 97.58% NAV

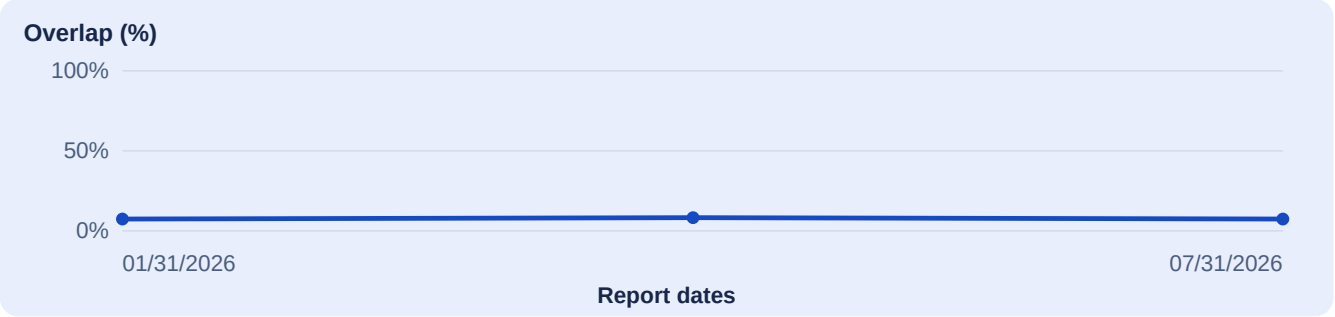
Overlap / SGRW 41.42%

Largest shared positions

Security	FAD	SGRW	Overlap
FTAI AVIATION LTD COM USD0.01	0.37%	1.49%	0.37%
RBC BEARINGS INC COM	0.33%	1.72%	0.33%
NEXTPOWER INC CLASS A COM	0.29%	0.86%	0.29%

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

History





3 matched portfolio dates from 01/31/2026 to 07/31/2026. Both filings use the same portfolio date. The minimum matched share of net assets is 97.41%. The filing dates are 53–58 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

Largest changes

04/30/2026 / 07/31/2026 -0.86 pp

Security	Overlap 04/30/2026	Overlap 07/31/2026	Change (pp)
CARPENTER TECHNOLOGY CORP	0.35%	0.00%	-0.35
STERLING INFRASTRUCTURE INC	0.25%	0.00%	-0.25
TTM TECHNOLOGIES INC	0.32%	0.13%	-0.18
BWX TECHNOLOGIES INC	0.42%	0.24%	-0.18
OKTA INC	0.04%	0.20%	+0.16
All other shared classes			-0.06

Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

nport-common-equity-v1

Report dates	Weight (%)	Classes	FAD · SEC	SGRW · SEC
07/31/2026	7.41%	60	0000940400-26-037835	0001410368-26-099259
04/30/2026	8.27%	56	0000940400-26-025134	0001410368-26-067340
01/31/2026	7.42%	49	0000940400-26-011361	0001410368-26-033744