Portfolio overlap

DFAS / FNX

Overlap	Shared positions
43.28%	377

U.S. Small Cap Portfolio: ETF Class Shares

Report dates 07/31/2026 | 99.86% NAV

Overlap / DFAS 47.56%

First Trust Mid Cap Core AlphaDEX Fund

Report dates 07/31/2026 | 99.82% NAV

Overlap / FNX 86.56%

Largest shared positions

Security	DFAS	FNX	Overlap
HF SINCLAIR CORP	0.29%	0.49%	0.29%
IES HOLDINGS INC	0.26%	0.38%	0.26%
ELANCO ANIMAL HEALTH INC	0.26%	0.24%	0.24%

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

History





16 matched portfolio dates from 01/31/2022 to 07/31/2026. Both filings use the same portfolio date. The minimum matched share of net assets is 99.09%. The filing dates are 50–60 days after the portfolio dates. This is filing lag. The archive does not establish an exact intraday release time.

Largest changes

04/30/2026 / 07/31/2026 -0.08 pp

Security	Overlap 04/30/2026	Overlap 07/31/2026	Change (pp)
AMKOR TECHNOLOGY, INC.	0.32%	0.04%	-0.27
STERLING INFRASTRUCTURE INC	0.20%	0.00%	-0.20
INSTALLED BUILDING PRODUCTS, INC.	0.16%	0.00%	-0.16
CHARLES RIVER LABORATORIES INTERNATIONAL, INC.	0.07%	0.22%	+0.16
CACI INTERNATIONAL INC.	0.15%	0.00%	-0.15
All other shared classes			+0.54

Sources and methodology

Weights are percentages of each fund's net assets. Shared weight is the sum of the smallest weight per matched common-stock class. Unmatched positions are excluded without renormalizing the weights.

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Report dates	Weight (%)	Classes	DFAS · SEC	FNX · SEC
07/31/2026	43.28%	377	0001004726-26-009202	0000940400-26-037847
04/30/2026	43.36%	375	0001004726-26-005678	0000940400-26-025129
01/31/2026	43.40%	374	0001004726-26-002422	0000940400-26-011354
10/31/2025	44.24%	377	0001004726-25-003414	0000940400-25-014189
07/31/2025	44.51%	378	0001004726-25-000893	0000940400-25-002090
04/30/2025	43.52%	362	0001752724-25-160260	0001752724-25-155896
01/31/2025	41.91%	353	0001752724-25-074484	0001752724-25-066895
10/31/2024	41.07%	334	0001752724-24-300131	0001752724-24-292055
07/31/2024	40.62%	343	0001752724-24-222777	0001752724-24-212491
04/30/2024	38.60%	335	0001752724-24-145891	0001752724-24-143779
01/31/2024	34.63%	321	0001752724-24-073708	0001752724-24-062137
10/31/2023	35.73%	331	0001752724-23-295848	0001752724-23-294435
07/31/2023	34.75%	312	0001752724-23-218826	0001752724-23-217082
01/31/2023	36.34%	304	0001752724-23-074190	0001752724-23-065187
10/31/2022	37.51%	312	0001752724-22-292314	0001752724-22-295752
01/31/2022	25.11%	270	0001752724-22-079852	0001752724-22-069382